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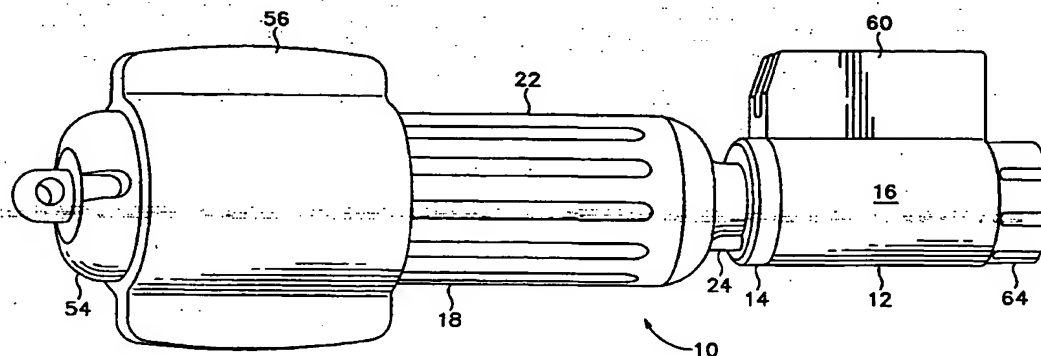
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(54) Title: **ELECTRONIC LOCKING SYSTEM**



(57) Abstract: An electronic locking system (10) comprises a cylinder (14) housed within and rotatable with respect to a shell (16). A key (18) has a power supply (28). At least one of the key (18) and the cylinder (14) is capable of generating a signal. An electrically powered locking mechanism is housed within the cylinder (14) and includes a lock member (12) movable between an open position and a locked position. The lock member (12) in the locked position interferes with movement of the cylinder (14). A power source (28) is connected to the locking mechanism in response to the signal. The locking mechanism allows movement of the lock member (12) from the locked position to the open position so that the cylinder (14) may be rotated. The cylinder (14) further includes an interfering member (90) that resists movement of the locking member (12). In addition, a biasing mechanism urges the cylinder (14) toward a home position when the cylinder (14) is rotated away from the home position.

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## ELECTRONIC LOCKING SYSTEM

## TECHNICAL FIELD

5           The present invention relates to an electronic lock.

## BACKGROUND ART

10           Electronic locks have many advantages over mechanical locks. For example, electronic locks used in combination with a microprocessor or a computer can be programmed to control the electronic lock by time of day, by authorization codes, or other factors that may be  
15           programed into the processor. When a key is lost, instead of replacing the electronic lock, the electronic lock may be reprogrammed to accept a different identification code from a different key.

          However, electronic locks suffer from a number of drawbacks. First, the locks require a source of  
20           power. If the power source is provided within the lock, such as in the form of a battery, then the power supply occupies space within the lock, making the lock larger. Such batteries may also be prone to corrosion which can affect the internal parts of the lock. In addition, if  
25           the battery loses power, then the lock may no longer be able to function. Further, the lock must be accessed periodically in order to change the battery. Providing power from a standard electrical power line is an alternative, but requires providing wiring to the lock.  
30           Further, such wiring may not be available in some environments, such as a desk or cabinet.

          It is also desired to make the locks as small as possible, so that the electronic lock may be installed in place of an existing mechanical lock. Conventional  
35           mechanical locks used with desks or cabinets are relatively small. Thus, the space available within such

a lock is confined, limiting the size and number of components that may be used within a lock.

Another difficulty with electronic locks is that they are susceptible to opening in response to sharp blows. Typically, electronic locks use a solenoid. However, it is often possible to jar a solenoid plunger so that an electronic lock may be opened by applying a sharp force to the lock, such as striking a lock with a hammer.

Another problem with electronic locks is that often a solenoid is used to move a plunger into and out of interfering relationship with the internal cylinder and the external shell. This may result in several problems. First, the solenoid and its plunger must be constructed to withstand the primary force directed on the plunger when a person attempts to rotate the cylinder when locked. Another problem is that the electronic lock may be difficult to lock, since it may be difficult to align the plunger with its corresponding bore. If the plunger does not align properly with the bore, the plunger cannot enter the bore so as to interfere with the movement of the cylinder.

Yet another problem is that some electronic locks allow removal of the key during rotation of the lock. In that event, a person may forget to return the cylinder to its locked position after the lock has been opened.

Accordingly, what is therefore desired is an electronic lock that occupies a small volume, may be used to replace existing mechanical locks, that does not require a power source inside of the lock or external wiring, that is not susceptible to being opened in response to tampering, that may be consistently returned to a position that allows secure locking, and that prevents withdrawal of a key during operation.

## DISCLOSURE OF THE INVENTION

The present invention provides an electronic locking system that overcomes the aforesaid drawbacks of the prior art. In a first separate aspect of the invention, an electronic locking system comprises a cylinder housed within and rotatable with respect to a shell. A key has a power supply. At least one of the cylinder and key is capable of generating a signal when the key is engaged with the cylinder. An electrically powered locking mechanism is housed entirely within the cylinder and includes a lock member movable between an open position inside the cylinder and a locked position. The lock member in the locked position interferes with movement of the cylinder. The power supply is electrically connected to the locking mechanism. The locking mechanism allows movement of the lock member from the locked position to the open position in response to the signal, so that the cylinder may be rotated within the shell. All of the components of the locking mechanism are housed within the cylinder when the cylinder is rotated. Thus, this aspect of the invention has the advantages of providing a small lock that may be used to replace existing mechanical locks, and that does not require a power supply in the lock or external wiring to provide power.

In another separate aspect of the invention, an electronic locking system comprises a cylinder housed within and rotatable with respect to a shell. At least one of a key and the cylinder is capable of generating a signal when the key is engaged with the cylinder. An electrically powered locking mechanism in the cylinder includes a lock member that is moveable between an open position and a locked position. The lock member in the locked position interferes with movement of the cylinder. The locking mechanism further includes an interfering member moveable between an interfering position and a non-interfering position. The interfering member in the

interfering position resists movement of the lock member, and the interfering member in the non-interfering position allows movement of the lock member. The locking mechanism moves the interfering member from the  
5 interfering position to the non-interfering position in response to the signal so that the cylinder may be rotated within the shell. This aspect of the invention has the advantage of using a two part system so that the lock member may be designed to withstand large primary  
10 forces, while the interfering member, which may be a solenoid, is not subjected to large direct forces.

In a third separate aspect of the invention, an electronic locking system comprises a cylinder housed within and rotatable with respect to the shell. At least  
15 one of a key and the cylinder is capable of generating a signal when the key is engaged with the cylinder. An electrically powered locking mechanism includes a lock member that is moveable between an open position and a locked position. The locking mechanism allows movement  
20 of the locking member from the locked to the open position in response to receiving the signal so that the cylinder may be rotated within the shell. A biasing mechanism urges the cylinder toward a home position when the cylinder is rotated away from the home position.  
25 This aspect of the invention has the advantage of aligning the cylinder to a position that will allow the lock to be secured.

Preferably, the electronic locking systems described above further include an anti-tamper mechanism  
30 to prevent the lock from being opened as a result of a sharp blow to the lock. In addition, the locking systems preferably further include a key retention mechanism that prevents the key from being disengaged from the lock when the cylinder is rotated away from the home position.

35 In addition to the advantages described above, the various aspects of the invention may each provide one or more of the following advantages. By housing the

operative components of the locking mechanism entirely within the cylinder, a locking system may be manufactured to fit within a very small volume. Thus, the electronic lock may be used to replace conventional mechanical cylinder locks. In addition, in the event an installed lock fails, the cylinder may be replaced without replacing the entire lock. The present invention also does not require the use of a power supply within the lock itself. Thus, the lock can be smaller because it does not contain a power supply, and is not susceptible to corrosion resulting from a corroding battery. Nor does the lock require an external source of power from external wiring. The lock is thus simpler and easier to install.

The foregoing and other features and advantages of the invention will be more readily understood upon consideration of the following detailed description of the invention, taken in conjunction with the accompanying drawings.

20

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a perspective view of an exemplary lock of the present invention.

FIG. 2 is a perspective view of an exemplary key.

FIG. 3 is a perspective view of an exemplary key engaging an exemplary core.

FIG. 4 is an exploded assembly view of an exemplary lock.

FIG. 5 is an exploded assembly view of an exemplary cylinder.

FIG. 6 is a cross-section of the lock of FIG. 1 taken along a longitudinal line bisecting the cylinder.

FIG. 7 is a cross-section of the lock taken along the line 7-7 of Fig. 6.

FIG. 8 is a cross-section of the lock taken along the line 8-8 of Fig. 6.

FIG. 9 is similar to FIG. 6, except that the electronic lock has been opened.

FIG. 9A shows a detail view of the key retention mechanism.

5           FIG. 10 is similar to FIG. 6, except that a large force has been applied to the face of the lock.

FIG. 11 is an exploded assembly view of an exemplary key.

10           FIG. 12 is a block diagram of the electrical components of an exemplary key and lock.

FIG. 13 is a flow diagram of the lock interface.

FIG. 14 is a flow diagram of the key interface.

15           BEST MODES FOR CARRYING OUT THE INVENTION

Referring now to the figures, wherein like numerals refer to like elements, FIGS. 1, 2 and 3 show an exemplary electronic locking system 10, which consists of a lock 12 and key 18. The lock 12 has a cylinder 14 that rotates within a shell 16. A bolt 20 (shown in phantom lines) is attached to the rear of the lock 12. In operation, the key 18 engages the lock 12 as shown in FIG. 3. The key 18 and lock 12 communicate electronically, so that when an authorized key 18 engages  
20 the lock 12, the cylinder 14 may be rotated within the shell 16. Rotation of the cylinder 14 causes movement of the bolt 20, enabling opening of the device that has been locked. For example, where the electronic locking system 10 is used with a desk drawer, rotation of the cylinder  
25 14 would move the bolt 20 to a position wherein the desk drawer could be opened. The electronic locking system 10 may be used in any application where a lock would be desired, such as with doors, windows, cabinets, desks, filing cabinets, etc. The electronic locking system 10  
30 may be used with any conventional bolt or equivalent apparatus used to secure the item to be locked.  
35

## THE KEY

FIG. 11 shows an exemplary embodiment of a key 18 of the present invention. The key 18 has an external housing 22 containing the components of the key 18. The key 18 has a lock engaging rod 24 at the front end of the key 18. The key 18 also has an annular neck 26 that defines a bore 130 opposite the rod 24. Inside the housing 22 is a battery 28, battery spring 30, and printed circuit board 32. Mounted on the printed circuit board is a microprocessor, LED 36 and beeper 38. Electrical contact is made between the key 18 and the lock 12 through the key pins 40, which are electrically insulated by the insulator 42. Coil springs 44 urge the pins 40 forward and into engagement with the lock 12. The key pins 40 are electrically connected to the microprocessor and battery 28.

The assembled insulator 42, pins 40, printed circuit board 32, and battery 28 are held snugly within the housing 22 by use of the spring 46 and plug 48. A gasket 50 seals the key 18, which is pressed against the plug by the post 52. A cap 54 seals the housing 22. A torque amplifier 56 fits around the housing 22, so that the key 18 may be easily gripped and turned.

The essential components of the key 18 are a power supply, such as battery 28, and microprocessor, for communicating with the lock 12. The mechanical assembly and electrical connections may be constructed as desired. Thus for example, while a rod 24 and annular neck 26 are shown, other mechanical arrangements could be used to allow the key 18 to engage the lock 12 so as to rotate the lock, such as a square peg.

## THE LOCK

FIGS. 1, and 4-6 illustrate an exemplary lock 12. FIG. 6 is a cross-section taken along a longitudinal line bisecting the lock 12. The lock 12 is comprised of a cylinder 14 and a shell 16. The lock 12 may be sized



so as to replace conventional mechanical cylinder locks. A tail piece 58 (see FIG. 6) is attached to the end of the cylinder 14 with bolts or screws. A pair of bores 59 at the end of the cylinder 14 receive the bolts or screws for attaching the tail piece. (See FIG. 5) The tail piece 58 is connected to a bolt 20, or other conventional locking device, which interferes with movement of the item to be locked. For example, where the lock 12 is used to lock a desk drawer, the bolt 20 would prevent movement of the desk drawer relative to the desk. The shell 16 may be made from any conventional material, such as brass, and includes a bible 60 projecting away from the cylindrical portion of the shell 16. The bible 60 fits within a slot in the device to be locked, such as a desk drawer, to prevent rotation of the shell 16 with respect to the device. An o-ring 62 and a back seal 63 are used to seal the inside of the shell 16 to prevent dirt and other contaminants from entering the inside of the shell 16 and damaging the components of the lock 12. A threaded retainer 64 is threadably attached to a threaded rear portion 66 of the cylinder 14. The tension between the cylinder 14 and the shell 16 may be adjusted by tightening the retainer 64, thus controlling the ease with which the cylinder 14 may be rotated within shell 16.

The cylinder 14 is comprised of a body 68 to which is mounted the various components of the cylinder 14. The front portion of the body 68 has two bores 70, each of which contains an electrical contact 72. The contacts 72 are insulated from the body 68 by insulators 74. The electrical contacts 72 receive the pins 40 to provide the electrical connection between the lock 12 and key 18, so that the key 18 may provide power to the lock 12 and so that the key 18 and lock 12 can communicate with one another.

A printed circuit board 76 is mounted at the center of the body 68. The printed circuit board 76

includes the lock microprocessor and memory for the lock 12. The printed circuit board 76 is electrically connected to the electrical contacts 72.

A solenoid assembly is also mounted in the body 68. The solenoid assembly includes a frame 78 to which is mounted a solenoid coil 80. The coil 80 is aligned with a bore 82 at the rear portion of the body 68. The solenoid assembly also includes a tube 84 containing a tamper element 86, tamper spring 88, solenoid plunger 90, solenoid spring 92 and solenoid pole 94. The assembled tube 84 is inserted into the bore 82 so that the lower portion of the tube 84 and solenoid pole 94 are located within the solenoid coil 80. The tube 84 is made of brass or some other non-ferrous material. The tube 84 is retained inside of the bore 82 through the use of a lock ring 96. The lock ring 96 fits within an annular groove 98 at the rear portion of the body 68 and another groove 100 at the end of the tube 84. Drill guards 101 are mounted between the front portion of the body 68 and the solenoid frame 78 to protect the solenoid assembly from being drilled out.

The body 68 also includes a bore 102 that is perpendicular to and in communication with bore 82 of the body 68 and bore 85 of the tube 84. Referring especially to FIG. 6, housed within the bore 102 is a pin 104 having a rounded head portion 106 and a lower rod portion 108 having a smaller diameter than the head portion 106. The bore 102 has an upper portion 102A that is sized so as to receive the rounded head portion 106, and a lower portion 102B having a smaller diameter sized to receive the lower rod portion 108. A spring 110 fits within the upper bore portion 102A. The spring 110 is wider than the lower bore portion 102B, so that the spring 110 is compressed by movement of the rounded head portion 106 of the pin 104 as the pin 104 moves inside the bore 102. Thus, the spring 110 urges the pin 104 out of the bore 102.

Referring now especially to FIG. 7, the shell 16 defines a cavity 112 that communicates with the bore 102 when the cylinder 14 is in the shell 16 and located in the home, or locked, position. The cavity 112 is defined by a pair of opposing cam surfaces 114A and 114B. The cavity 112 is large enough to receive at least a portion of the head portion 106 of the pin 104.

Collectively, the solenoid assembly, pin 104, and spring 110 comprise a locking mechanism used to prevent or interfere with rotation of the cylinder 14 with respect to the shell 16. FIG. 6 shows the lock 12 in a locked condition. In the locked condition, no power is supplied to the solenoid coil 80. The solenoid spring 92 urges the plunger 90 away from the pole 94. The plunger 90 thus occupies the space in the tube 84 beneath the bore 85. The rounded head portion 106 of the pin 104 is in the cavity 112 of the shell 16. If the cylinder 14 is rotated with respect to the shell 16, the rounded head portion 106 of the pin 104 engages one of the cam surfaces 114A or 114B. The cam surface 114A or 114B urges the rounded head portion 106 downward toward the bore 102. However, because the plunger 90 occupies the space beneath the pin 104, the rounded head portion 106 is prevented from moving completely into the bore 102. Thus, in the locked condition, the cylinder 14 is unable to rotate with respect to the shell 16 due to the engagement of the rounded head portion 106 of the pin 104 with one of the cam surfaces 114A and 114B.

FIG. 9 illustrates the electronic lock 10 in an open condition. Power is supplied to the solenoid coil 80. In response, the solenoid plunger 90 is retracted into the solenoid coil 80 and into contact with the pole 94. Movement of the plunger 90 inside of the tube 84 creates an opening 116 within the tube 84 in communication with the bore 85. This opening 116 is large enough to receive a portion of the lower rod portion 108 of the pin 104. Thus, when the cylinder 14

is rotated with respect to the shell 16, and the rounded head portion 106 of the pin 104 engages one of the cam surfaces 114A or 114B, the lower rod portion 108 is urged into the opening 116. For example, if the cylinder 14 is rotated so that the head portion 106 engages the cam surface 114A, the cam surface 114A will cause the pin 104 to compress the spring 110 so that the head portion 106 is completely inside bore 102 and the lower rod portion 108 is partially inside the opening 116. The cylinder 14 is thus free to rotate with respect to the shell 16. This locking mechanism thus provides a significant advantage to the electronic locking system 10. All of the locking components of the lock 12, e.g. the microprocessor and locking mechanism, are housed within the cylinder 14. Thus, each of these components is completely housed within the cylinder 14 when the cylinder 14 rotates with respect to the shell 16. This provides several advantages. The lock 12 can be relatively small, and can be sized so as to replace conventional mechanical cylinder locks. In addition, in the event an installed lock 12 fails, the cylinder portion 14 of the lock 12 may be replaced without replacing the shell 16.

Alternatively, other mechanical devices can be used to provide a locking mechanism. Instead of using a pin 104, other lock members could be used having different shapes, such as bars, latches, or discs. The lock member may move in other ways. For example, the lock member may be pivoted about an axis so that a portion, when pivoted, interferes with rotation of the cylinder.

In the embodiment illustrated in the figures, the front face of the cylinder defines an annular groove 120 that receives the neck 26 of the key 18. On one side of the annular groove 120, the cylinder defines a bore 122 in communication with the annular groove 120. The bore 122 is capable of receiving the rod 24 of the key.

18. The mating engagement of the bore 122 and the rod 24 ensure that the key 18 is properly aligned with the cylinder 14. In addition, the rod 24, when in mating engagement with the bore 122, allows the key 18 to  
5 transfer torque to the cylinder 14, minimizing the torque applied through the key pins 40.

In a separate aspect of the invention, the electronic locking system 10 also has a unique anti-tamper mechanism. In normal operation, the tamper  
10 element 86 resides at the closed end of the tube 84. A tamper spring 88 within the tamper element 86 frictionally engages the interior wall of the tube 84, so as to resist movement of the tamper element 86 within the tube 84. Thus, as illustrated in FIG. 9, when power is  
15 supplied to the solenoid coil 80, and the plunger 90 is retracted, the tamper element 86 does not move. Thus, the tamper element 86 does not interfere with inward movement of the pin 104 into the opening 116. However, as illustrated in FIG. 10, in the event of a sharp  
20 impulse force being applied to the front of the lock 12, the tamper element 86 prevents the cylinder 14 from being rotated. A sharp force applied to the lock 12 may cause the plunger 90 to be momentarily retracted inside of the coil 80 by inertial forces. The same inertial forces  
25 cause the tamper element 86 to also move longitudinally with respect to the tube 84. The tamper element 86 thus occupies the space beneath the bore 85 of the tube 84, preventing the pin 104 from being pushed into the bore 102 by rotation of the cylinder 14. Once the spring 92  
30 overcomes the inertial forces which resulted from the sharp impact, both the plunger 90 and tamper element 86 are returned to their normal positions when in the locked condition as shown in FIG. 6. Thus, the locking system 10 of the present invention has the advantage of  
35 preventing the lock 12 from being opened by merely striking the lock 12 with a sharp blow.

In another separate aspect of the invention, the lock 12 also has a biasing mechanism that urges the lock toward a home position in order to provide for increased reliability of the locking system 10. In the embodiment shown in the figures, the "home position" of the lock 12 is defined by the cavity 112. The cam surfaces 114A and 114B meet at an apex 118. When the bore 102 of the cylinder 14 is aligned with the apex 118, the cylinder 14 is in the home position. In the absence of external torque applied to the cylinder 14, the cylinder 14 will naturally return to the home position once the head portion 106 begins to enter the cavity 112. The spring 110 urges the head portion 106 against the cam surfaces 114A or 114B. As the head portion 106 engages one of these cam surfaces 114A, 114B, the cam surface 114A or 114B urges the head portion 106 toward the apex 118, and consequently the cylinder 14 toward the home position. Once the head portion 106 reaches the apex 118, it is at an equilibrium point, which is the home position. Likewise, when the cylinder 14 is rotated away from the home position, the biasing mechanism urges the cylinder 14 to return to the home position. This biasing mechanism provides additional advantages to the locking system 10. When rotating the cylinder 14 back toward the home position in order to lock the lock 12, the user of the locking system 10 is able to determine when the cylinder 14 has returned to the home position based on the changes in resistance to movement caused by compression of the spring 110. When the home position has been located, the user may safely remove the key, knowing that the cylinder is in the correct position to be locked.

While the embodiment illustrated in the figures combines the locking mechanism with the biasing mechanism, the biasing mechanism could be separate from the locking mechanism. Thus, the biasing mechanism could be a separate mechanical member urged by a spring,

elastomer or other biasing device into engagement with the shell. Alternatively, the biasing mechanism could reside inside the shell and be urged into engagement with the cylinder. For example, the biasing mechanism may be  
5 comprised of a spring and ball-bearing housed within a bore in the shell. In such an alternative embodiment, the ball bearing may engage a dimple in the exterior surface of the cylinder, and the dimple defines the home position.

10 In another separate aspect of the invention, the locking system 10 provides a key retention mechanism. The cylinder 14 also has a bore 124 that is perpendicular to the longitudinal axis of the cylinder 14 and is in communication with the annular groove 120. The bore 124  
15 receives a ball bearing 126. The shell 16 defines a cavity 128 that is in communication with the bore 124 when the cylinder 14 is in the home position. The neck 26 also has a bore 130 that is opposite the rod 24. When the neck 26 is inserted into the annular groove 120, the  
20 bore 130 is aligned with the bore 124. The bore 130 is sized so that the ball bearing 126 may be received within the bore 130. When the neck 26 is first inserted into the annular groove 120, the ball bearing 126 is first pushed up into the cavity 128. However, once the neck 26  
25 is fully inserted into the groove 120, the ball bearing drops back down inside the bore 124 and inside the bore 130 in the neck 26. When the cylinder 14 is rotated, the ball bearing 126 sits completely within the bore 124, and thus is housed within the cylinder 14 as the cylinder 14  
30 is rotated. The ball bearing 126 prevents the key 18 from being withdrawn from the cylinder 14 once the cylinder 14 is rotated past the home position. The interior surface of the shell 16 prevents the ball bearing 126 from moving upward in the bore 124, thus  
35 preventing the neck 26 from being withdrawn from the groove 120. The only position in which the key 18 may be disengaged from the cylinder 14 is when the cylinder 14

is returned to the home position, so that the ball bearing 126 may be pushed up into the cavity 128, thus allowing the neck 26 to be withdrawn from the groove 120. Thus, the key retention mechanism provides the advantage of preventing the key 18 from being withdrawn from the lock 12 unless the cylinder 14 is returned to the home position. This ensures that the cylinder 14 is aligned properly so that the locking mechanism may be locked so as to prevent or interfere with rotation of the cylinder 14 with respect to the shell 16. Alternatively, other key retention mechanisms could be employed to retain the key 18 in the cylinder 14 when the cylinder 14 is rotated with respect to the shell 16. For example, the key could have a projecting tab which is received within a slot having an opening sized to receive the tab, allowing the key to rotate but preventing removal of the key except when the tab is aligned with the opening.

#### KEY AND LOCK COMMUNICATION

The key 18 and lock 12 communicate through the key pins 40 and the electrical contacts 72. Referring to FIG. 12, the key 18 has a microprocessor 132, a memory 134 in the form of Electronically Erasable Programmable Read Only Memory (EEPROM) which is connected to the microprocessor 132. Collectively, the microprocessor 132 and associated memory 134 comprise a computer system. The computer system which may be used in the present invention may be any device, whether a microprocessor alone or in combination with other processors and/or memory devices, which performs the functions described herein relating to the reading, writing, deleting, storing, and/or comparing of information relating to key identification codes, passwords and other data. The key 18 further optionally includes an LED 36, beeper 38, battery 28, and clock 136.

The lock 12 also has a microprocessor 138 and associated memory 140 in the form of EEPROM. Like the



key, the microprocessor 138 and associated memory 140  
comprise a computer system. Power and communications are  
delivered to the lock microprocessor 138 over a single  
line through one of the pins 40 and contact 72. The  
5 power passes through a diode 142 and filter capacitor 144  
before entering the microprocessor 138. The lock may  
also optionally include an LED, beeper and/or clock.

In operation, the key microprocessor 132 and  
lock microprocessor 138 communicate with one another to  
10 allow the lock 12 to be unlocked. In one embodiment,  
both the key microprocessor 132 and the lock  
microprocessor 138 are capable of storing passwords, and  
key identification codes and lock identification codes  
respectively. Each key 18 and lock 12 has a unique  
15 identification code. The identification codes may be  
programed in the respective microprocessors when the key  
18 or lock 12 is manufactured. Referring now to FIGS. 13  
and 14, when a key 18 engages a lock 12, the key 18 sends  
power to the lock microprocessor 138. After the lock  
20 microprocessor 138 has stabilized, the lock  
microprocessor 138 sends out a handshake signal to the  
key microprocessor 132. The key microprocessor 132 sends  
a handshake signal back to the lock microprocessor 138.  
The lock microprocessor 138 then sends a signal  
25 corresponding to its identification code to the key  
microprocessor 132. The key microprocessor 132 then  
sends a key identification code and a password to the  
lock microprocessor 138. The lock microprocessor 138  
determines whether the key identification code is  
30 authorized to open the lock 12, and then determines  
whether the password is correct. If so, the lock  
microprocessor 138 sends a signal to the key  
microprocessor 136, which in response provides power from  
the battery 28 through one of the pins 40 and contacts 70  
35 to the solenoid 80 to unlock the lock 12.

Both the key microprocessor 132 and lock  
microprocessor 138 may store within their respective

associated memories 134 and 140 activities occurring with respect to the key 18 and lock 12. Thus, the lock memory 140 may contain data representative of each key 18 which has attempted to open the lock 12, the time when the event occurred, the password that was supplied, and/or whether the lock 12 was opened. Likewise, each key 18 may store in its memory 134 each lock 12 that was accessed, the password provided to the lock 12, the time the lock 12 was accessed, and/or whether the lock 12 opened. The key microprocessor 132 and lock microprocessor 138 may be programmed using a programming device such as a Palm Pilot™ sold by 3 Com®. Data may be communicated over a cable using an RS 232 communication standard, or may also be transmitted using any other standard method for transmitting digital information.

The system can also be designed to utilize multiple access levels. Thus, some keys may only be authorized to open a limited number of locks, while other keys may be master keys capable of opening all locks.

The electronic locking system 10 may include an LED which may be used to indicate the status of the lock 12 or key 18, such as that an authorized key has been detected and that the lock 12 may be opened, or that the battery power is low. The electronic locking system 10 may also include a beeper to similarly communicate the status of the key 18 and/or lock 12. The beeper may be used to communicate, for example, when a master key has been detected, when an authorized key is detected, when a key code has been added to the authorized key codes in memory, and/or when a key identification code has been deleted from a lock memory. The beeper may also be used to sound an alarm in response to an attempt to open the lock 12 without first using an authorized key.

The terms and expressions which have been employed in the foregoing specification are used therein as terms of description and not of limitation, and there is no intention, in the use of such terms and

expressions, of excluding equivalents of the features shown and described or portions thereof, it being recognized that the scope of the invention is defined and limited only by the claims which follow.

## WE CLAIM:

1. An electronic locking system, comprising:
- (a) a cylinder housed within and rotatable with respect to a shell;
  - (b) a key having a power supply;
  - (c) at least one of said key and said lock being capable of generating a signal when said key is electrically connected with said cylinder;
  - (d) an electronically powered locking mechanism including a lock member movable between an open position within said cylinder and a locked position, said lock member in said locked position interfering with rotation of said cylinder; and
  - (e) said power supply being electrically connected to said locking mechanism and said locking mechanism allowing movement of said lock member from said locked position to said open position in response to said signal, and said locking mechanism in an open condition being housed entirely within said cylinder so that said cylinder may be rotated within said shell.

2. The electronic locking system of claim 1 wherein said locking mechanism further comprises a solenoid.

3. The electronic locking system of claim 2 wherein a solenoid plunger is an interfering member, that in an interfering position resists movement of said lock member.

4. The electronic locking system of claim 3 wherein said solenoid plunger is retracted in response to said signal.

5 5. The electronic locking system of claim 1 further comprising an anti-tamper mechanism.

6. The electronic locking system of claim 1 further comprising a biasing mechanism urging said  
10 cylinder toward a home position when said cylinder is rotated away from said home position.

7. The electronic locking system of claim 1 further comprising a key retention mechanism located at  
15 least partially within said cylinder that retains said key when said cylinder is rotated past a home position.

8. An electronic locking system, comprising:  
20 (a) a cylinder housed within and rotatable with respect to a shell;  
(b) at least one of a key and said cylinder being capable of generating a signal when said key is electrically connected with said cylinder;  
25 (c) an electrically powered locking mechanism in said cylinder including a lock member movable between an open position and a locked position, said lock member in said locked position interfering with movement of said cylinder; and  
30 (d) said locking mechanism further including an interfering member movable between an interfering position and a non-interfering position, said interfering member in said interfering position resisting movement of  
35 said lock member, and said interfering member in said non-interfering position

allowing movement of said lock member,  
said locking mechanism moving said  
interfering member from said interfering  
position to said non-interfering position  
in response to said signal, so that said  
cylinder may be rotated within said shell.

9. The electronic locking system of claim 8  
wherein said locking mechanism includes a solenoid.

10. The electronic locking system of claim 9  
wherein said interfering member is a solenoid plunger.

11. The electronic locking system of claim 8  
further comprising a biasing mechanism that urges said  
cylinder toward a home position when said cylinder is  
rotated away from said home position.

12. The electronic locking system of claim 8  
further comprising an anti-tamper mechanism.

13. The electronic locking system of claim 8  
wherein said key further comprises a power supply.

14. The electronic locking system of claim 8  
wherein said interfering member moves perpendicularly  
with respect to said lock member.

15. The electronic locking system of claim 8  
further comprising a key retention mechanism.

16. An electronic locking system, comprising:  
(a) a cylinder housed within and rotatable  
with respect to a shell;  
(b) at least one of said cylinder and a key  
capable of generating a signal when said

key is electrically connected with said cylinder;

- 5 (c) an electrically powered locking mechanism in said cylinder including a lock member movable between an open position and a locked position, said locking mechanism allowing movement of said locking member from said locked to said open position in response to said signal so that said  
10 cylinder may be rotated within said shell; and
- (d) a biasing mechanism urging said cylinder toward a home position when said cylinder is rotated away from said home position.
- 15

17. The electronic locking system of claim 16 wherein said locking mechanism further comprises a solenoid.

- 20 18. The electronic locking system of claim 17 wherein a solenoid plunger is an interfering member, that in an interfering position resists movement of said lock member.

- 25 19. The electronic locking system of claim 16 further comprising an anti-tamper mechanism.

- 30 20. The electronic locking system of claim 16 further comprising a key retention mechanism that retains said key in engagement with said cylinder when said cylinder is rotated away from said home position.

- 35 21. The electronic locking system of claim 16 wherein said locking mechanism is housed completely within said cylinder when said lock member is in said open position.

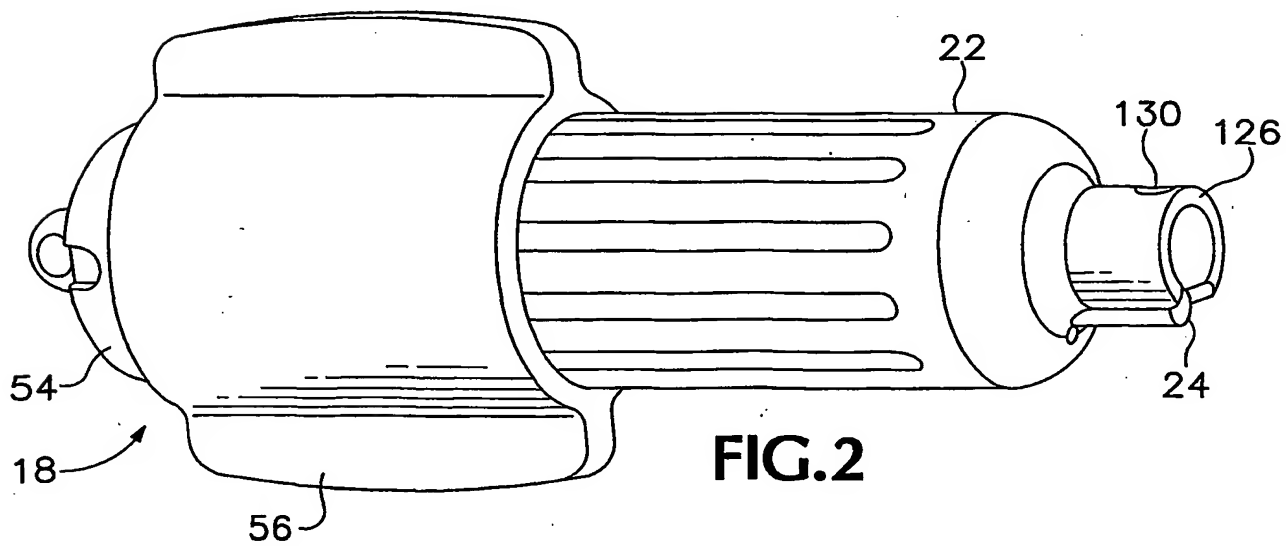
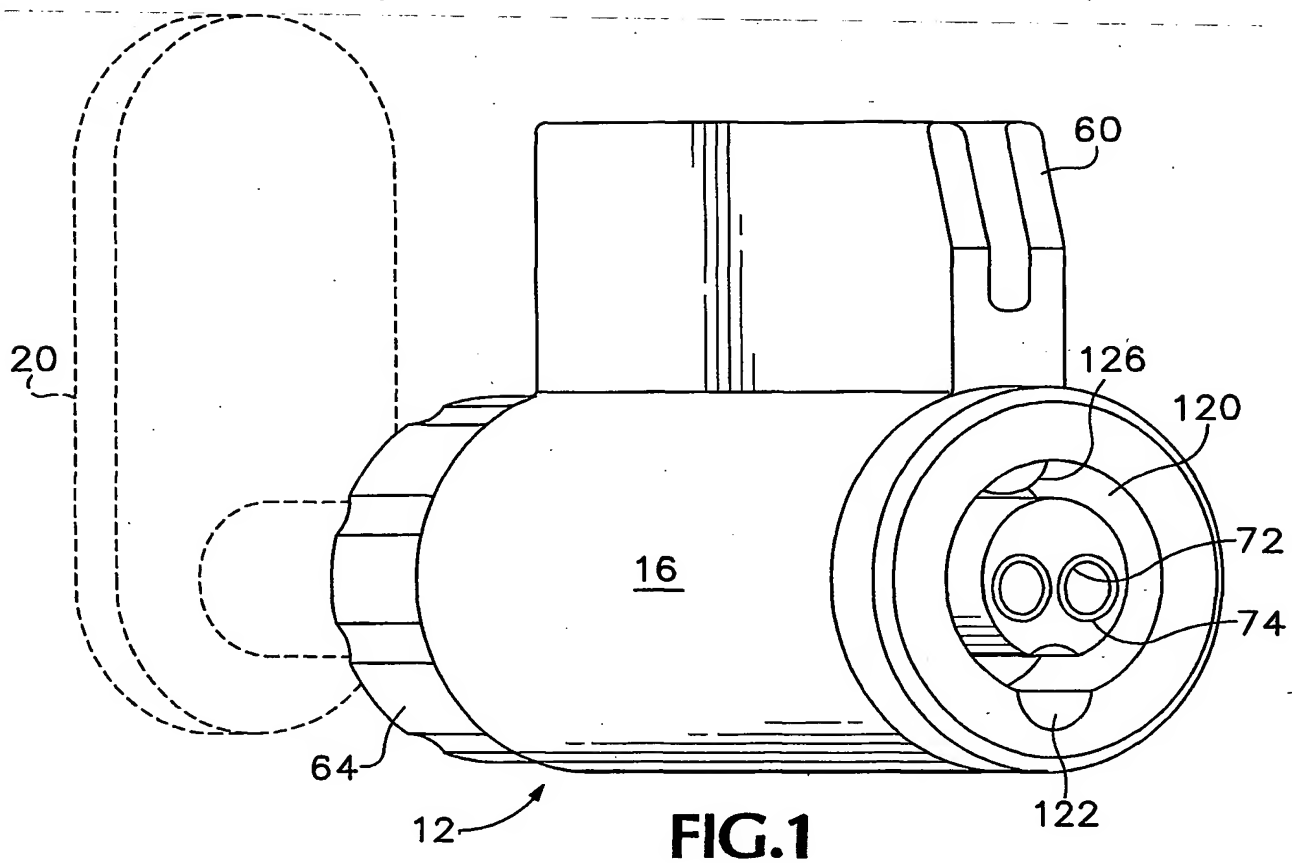
22. The electronic locking system of claim 16 wherein said key further comprises a power source for said locking mechanism.

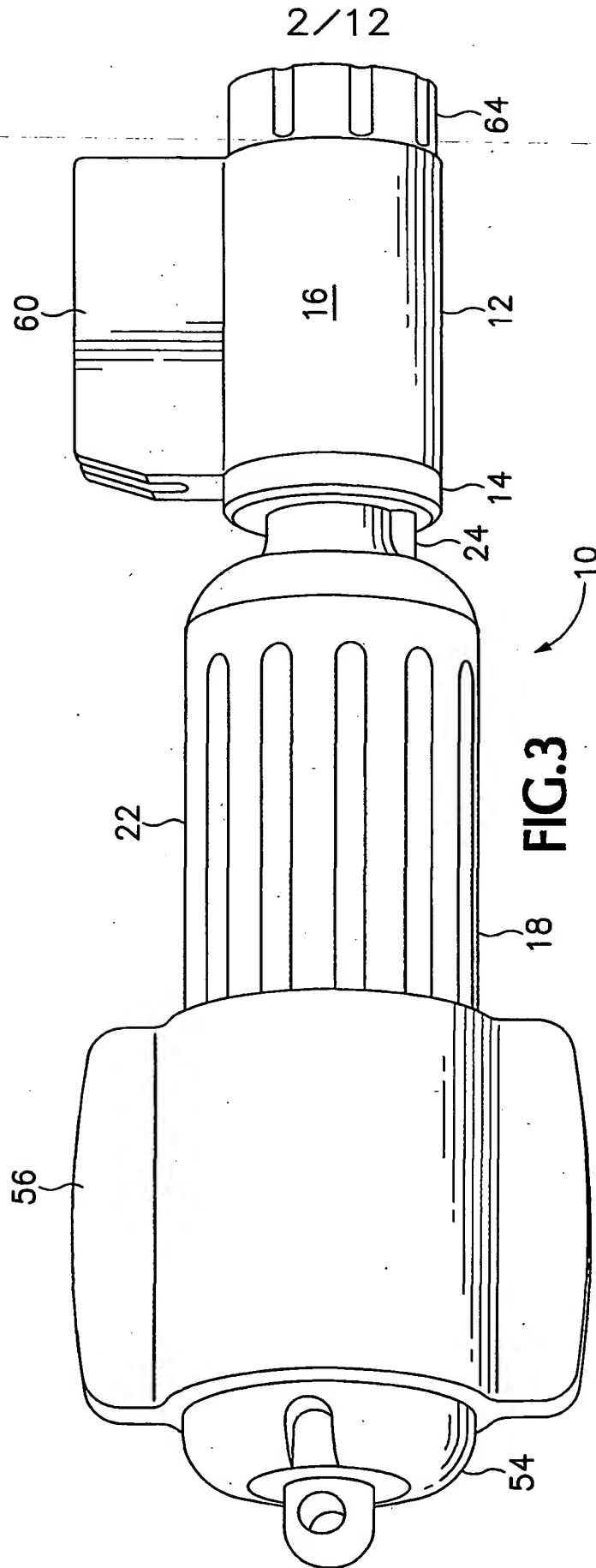
5           23. The electronic locking system of claim 16 wherein said biasing mechanism is part of said locking mechanism.

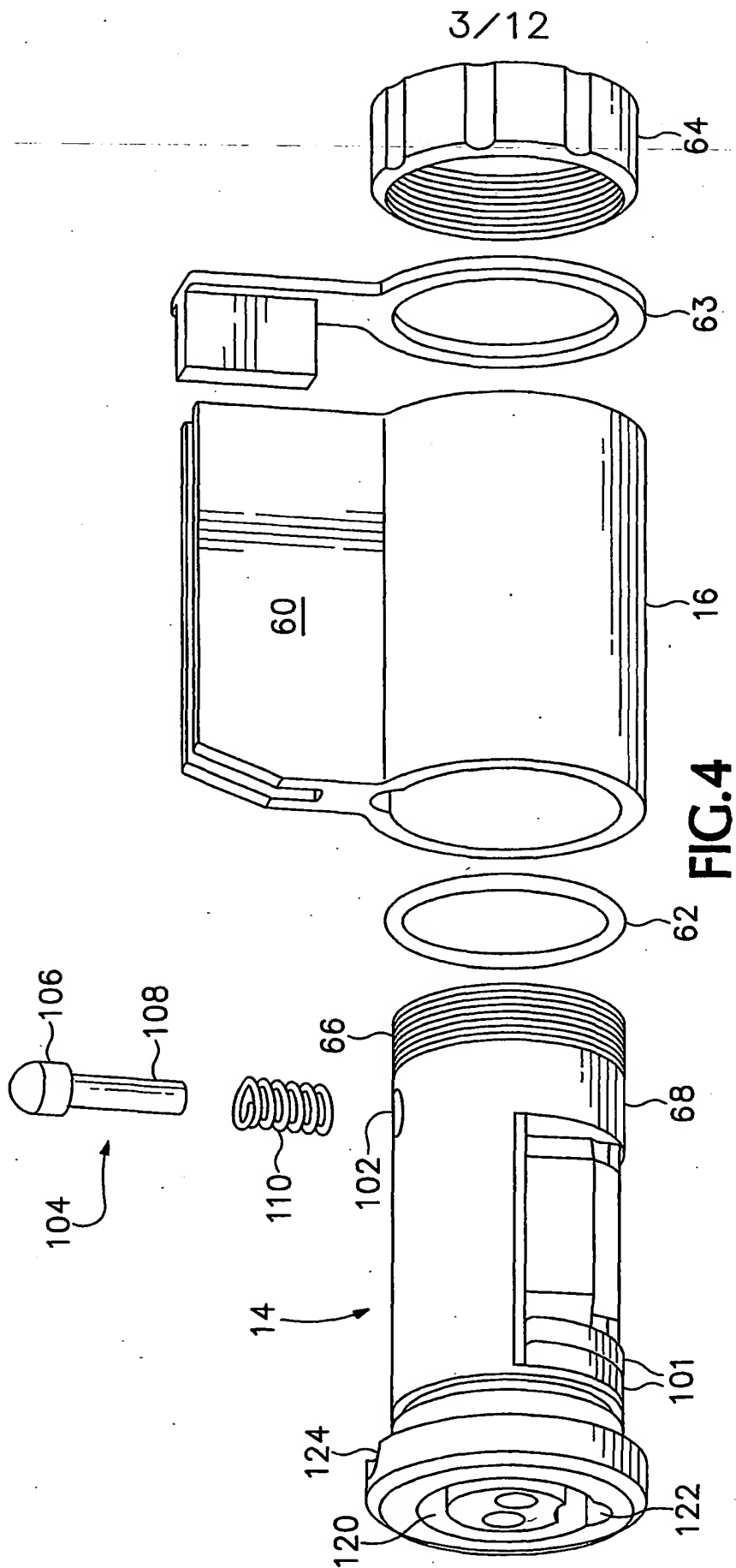
10           24. The electronic locking system of claim 16 wherein said biasing mechanism comprises a member in said cylinder that engages a cam surface in said shell when said cylinder is rotated away from said home position.



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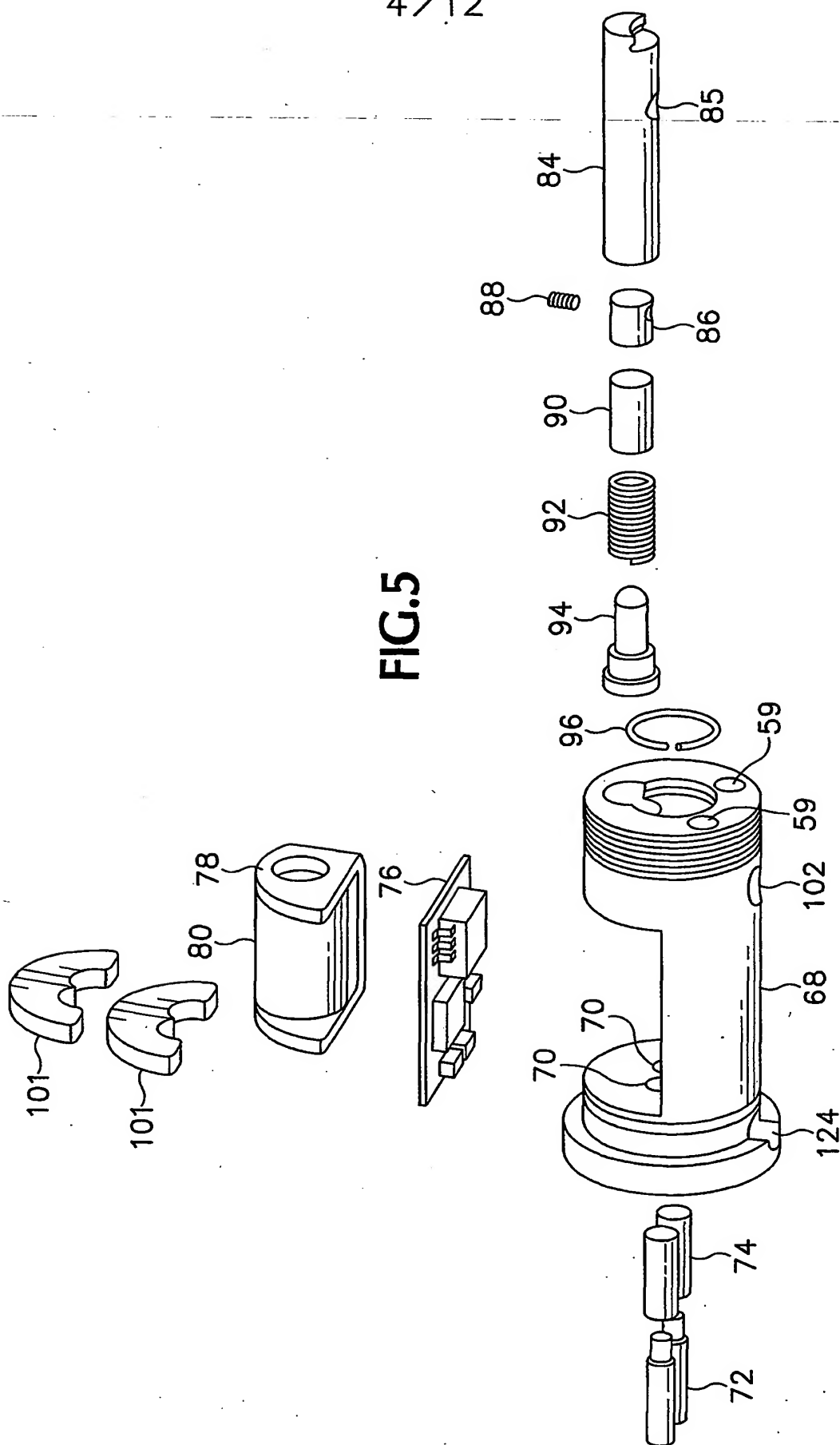


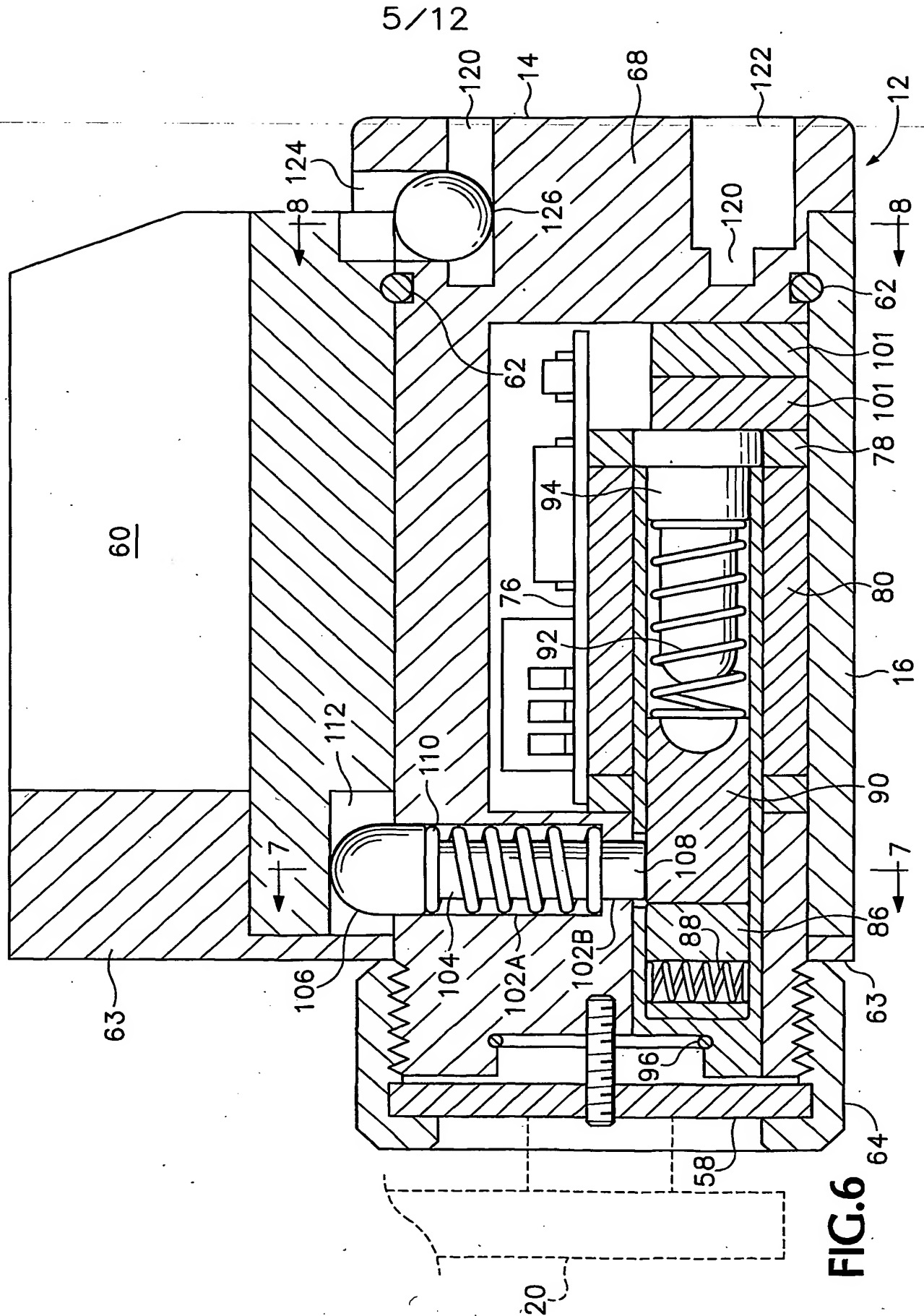




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FIG.5





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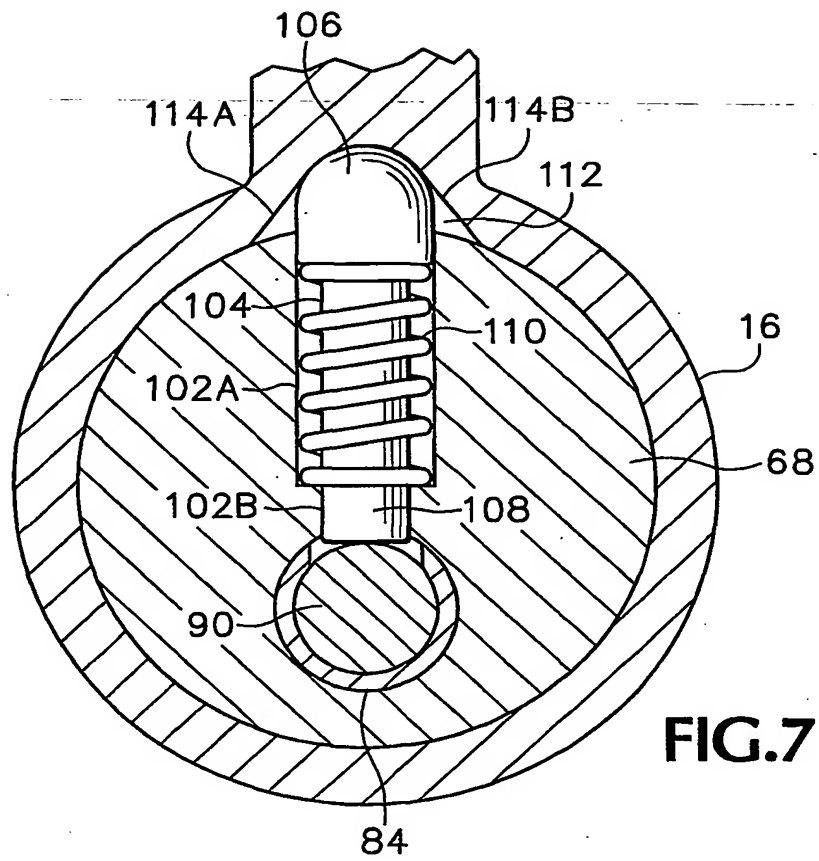


FIG. 7

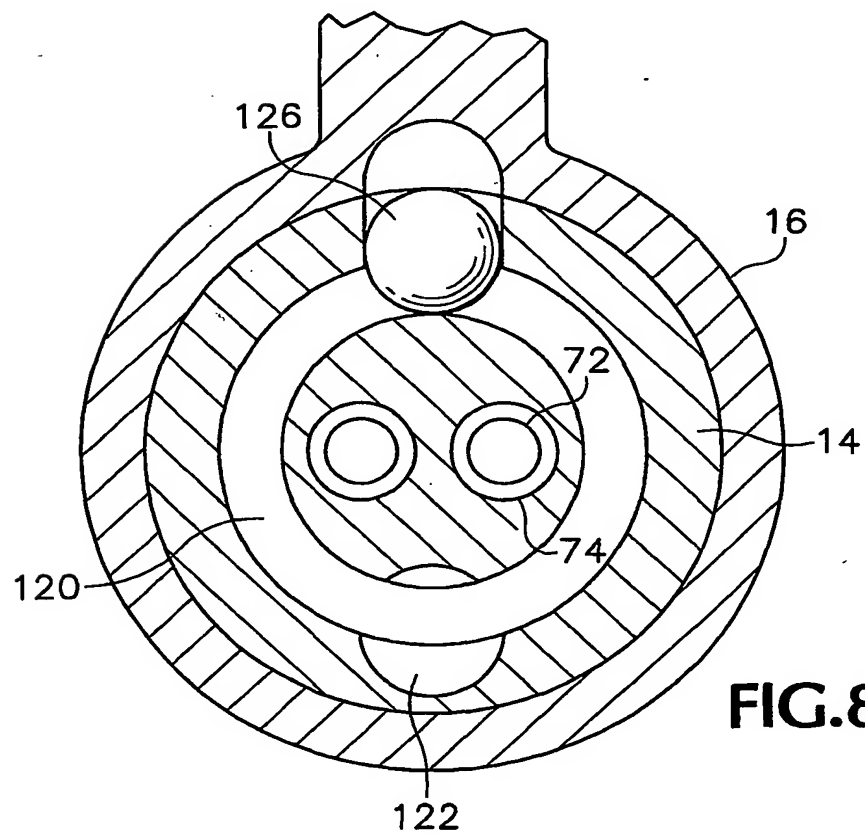
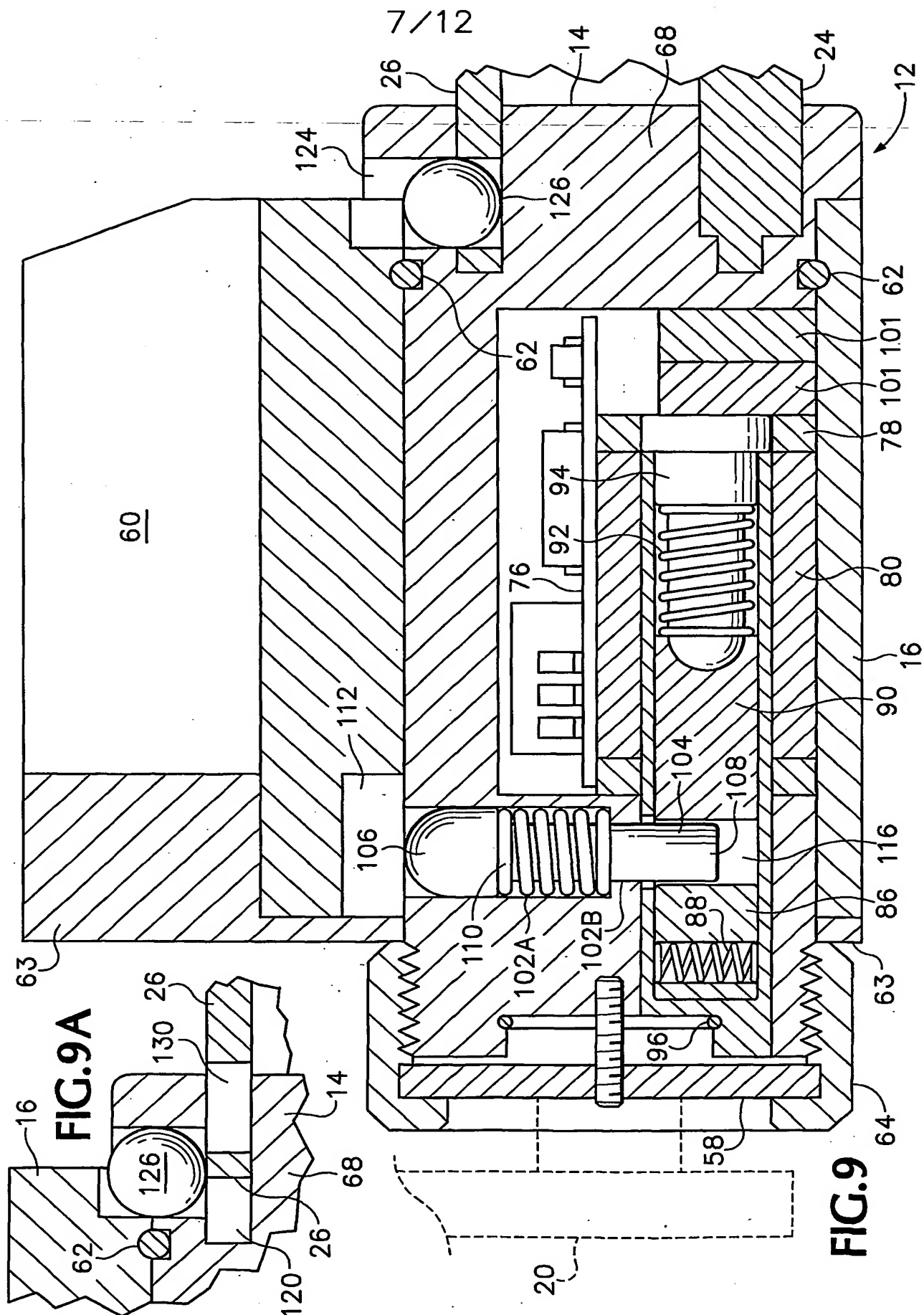


FIG. 8



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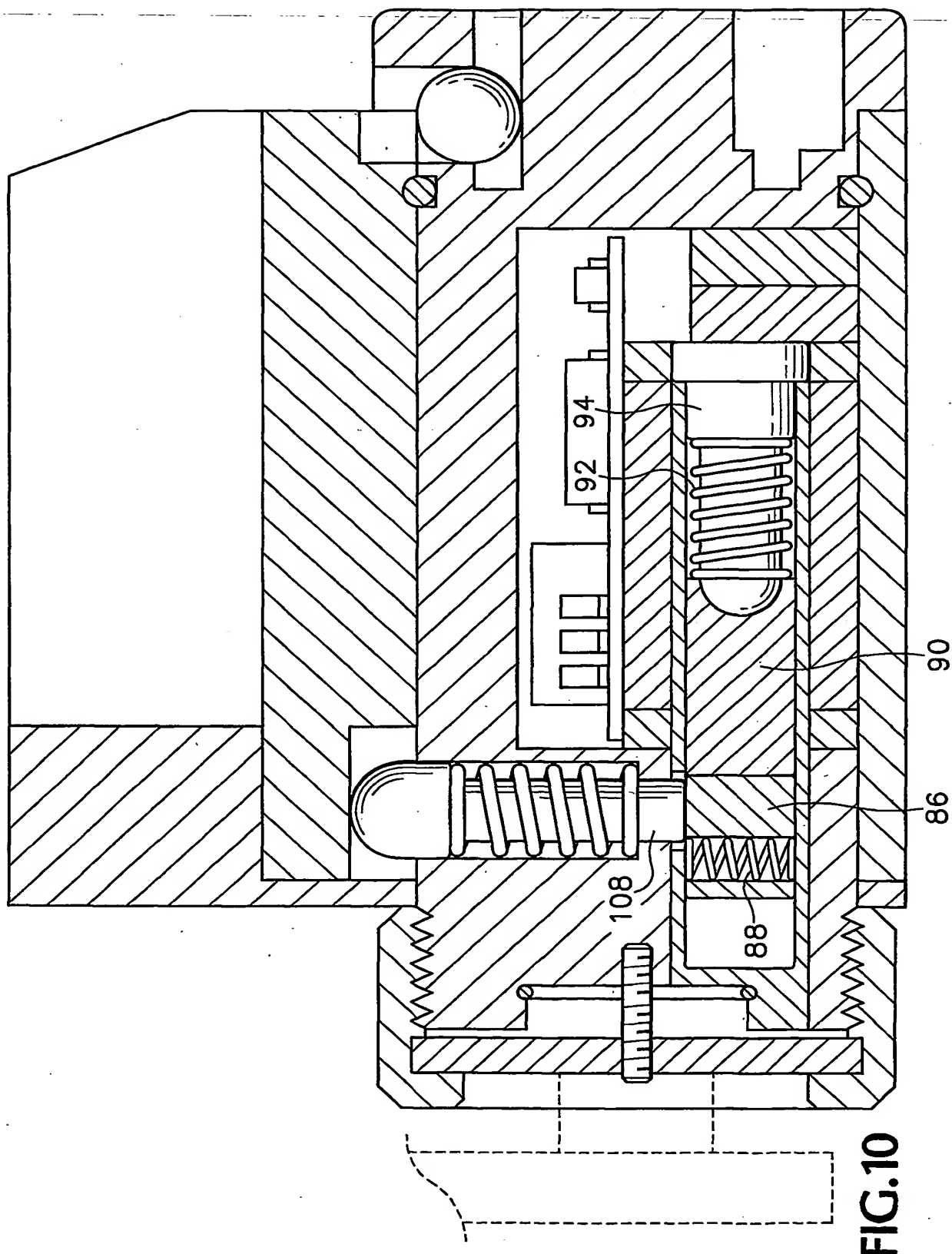


FIG.10



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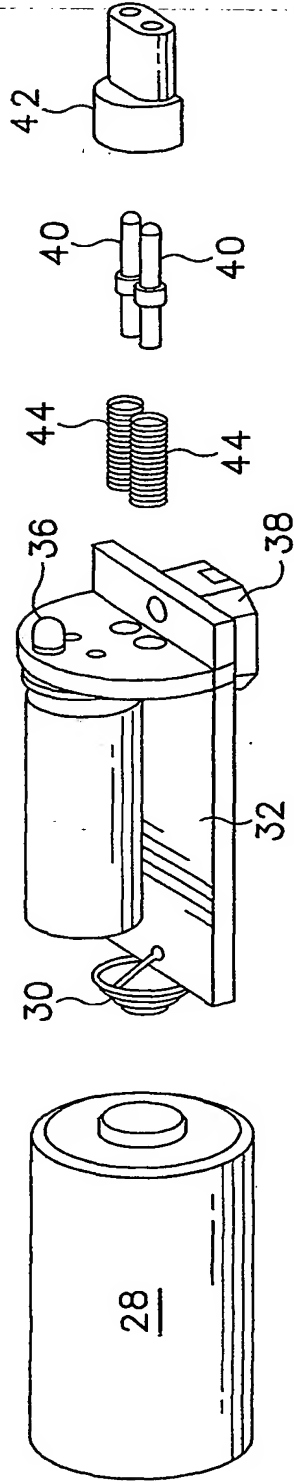
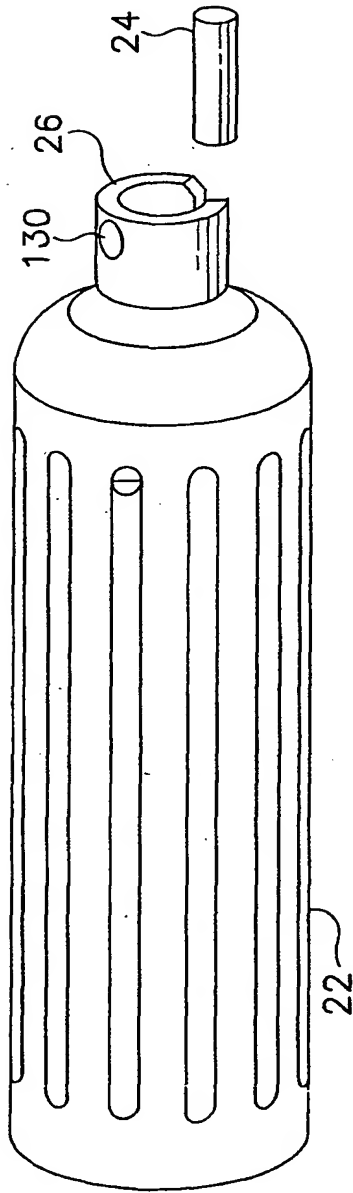
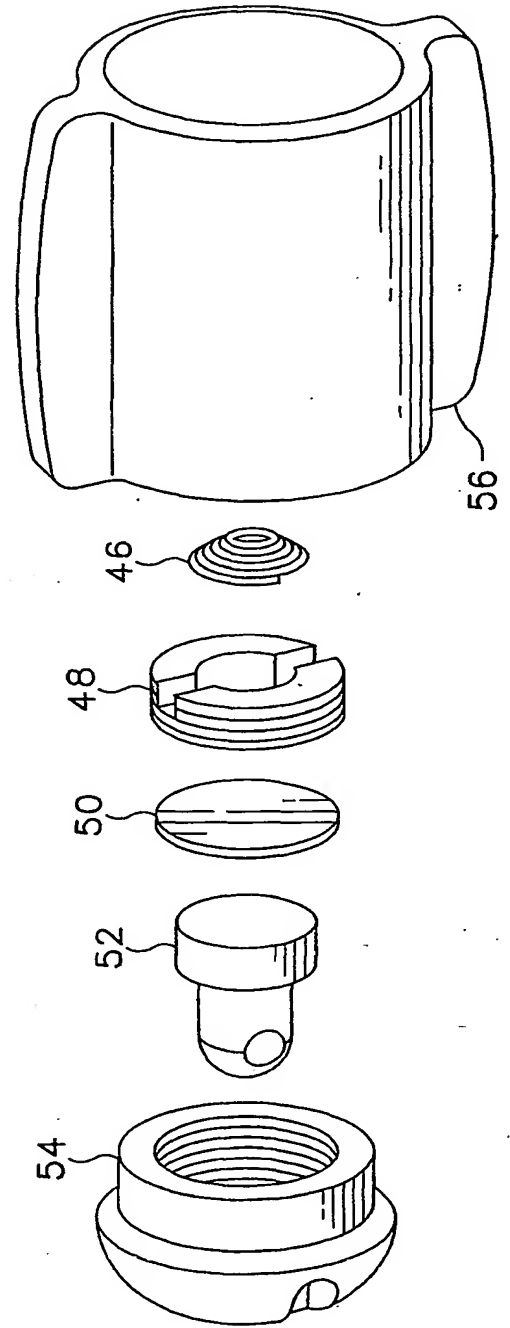


FIG. 11



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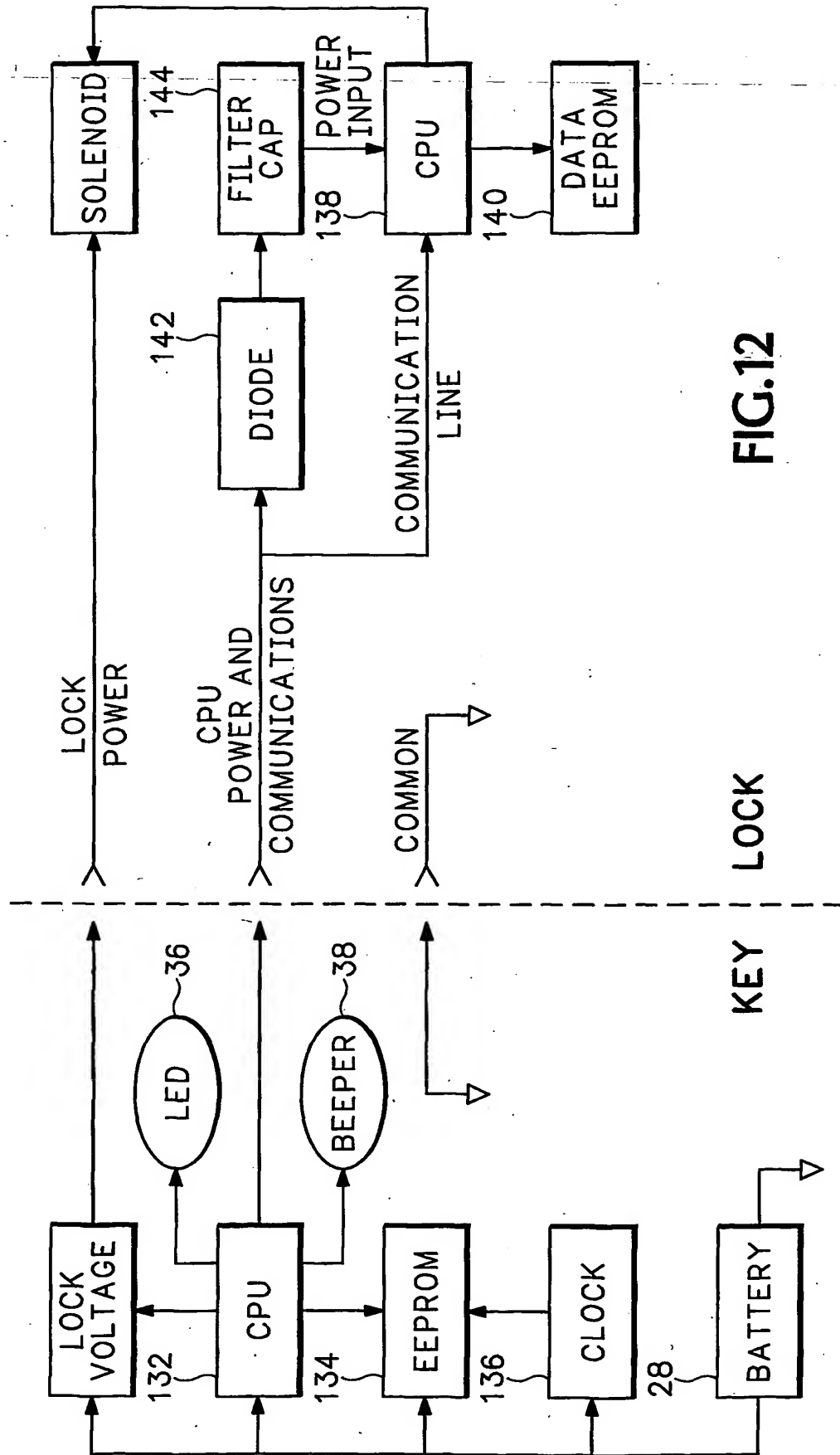
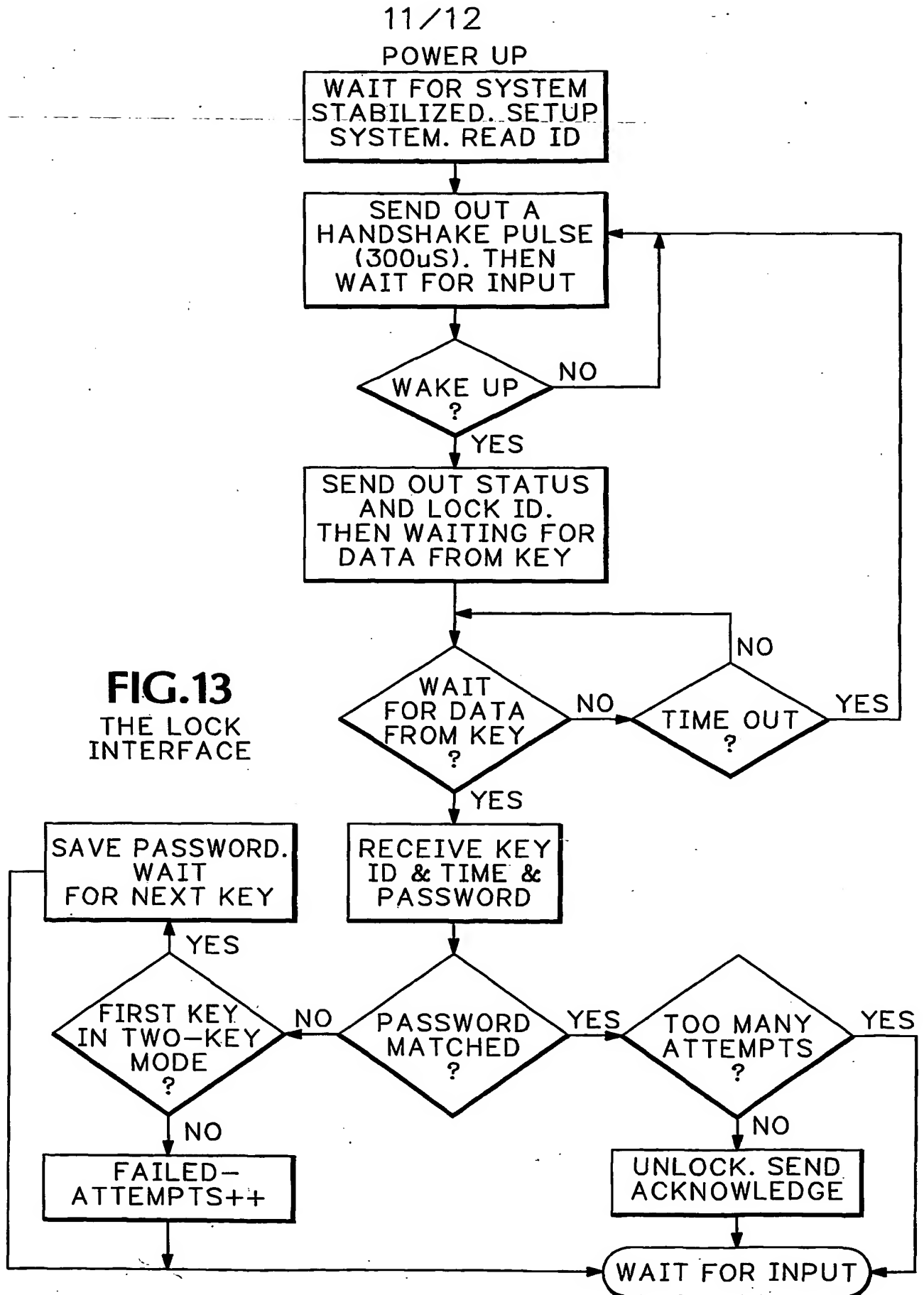
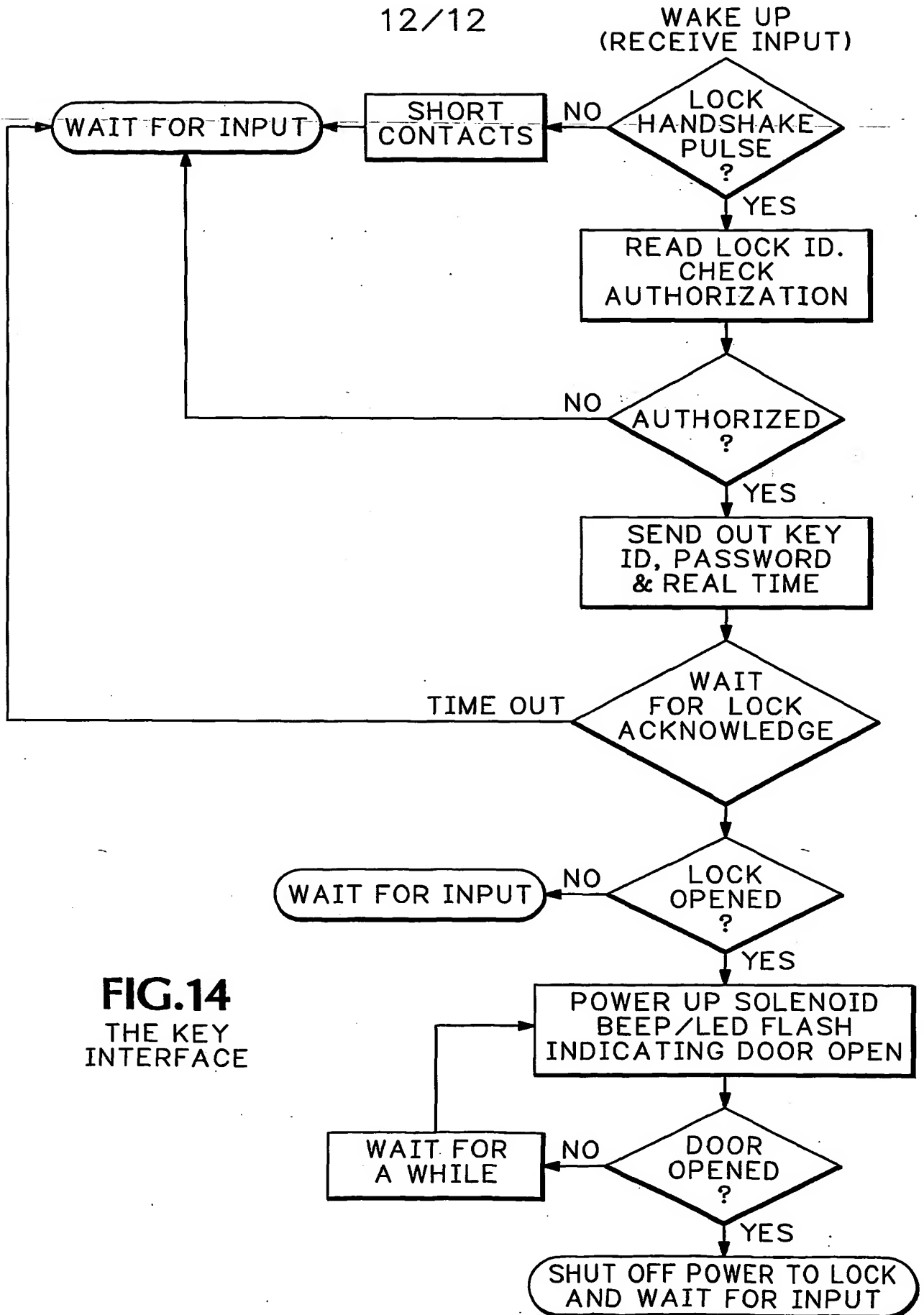


FIG. 12



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**FIG.14**  
THE KEY  
INTERFACE

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US01/01531**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) :E05B 47/06

US CL :70/278.3, 278.7, 283.1

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 70/278.2, 278.3, 278.7, 279.1, 283, 283.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X ----- Y	US 5,542,274 A (THORDMARK ET AL) 06 August 1996 (06/08/96), see figs. 6 and 7.	8-12,14-20,23 ----- 6,8-24
X ----- Y	US 5,552,777 A (GOKCEBAY ET AL) 03 September 1996 (03/09/96), see figs. 3 and 5.	1-5,7 ----- 6,8-24
Y	US 5,140,317 A (HYATT, JR. ET AL) 18 August 1992 (18/08/92), see fig. 5.	13, 22

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

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*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

23 FEBRUARY 2001

Date of mailing of the international search report

11 MAY 2001

Name and mailing address of the ISA/US

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## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US01/01531

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,582,050 A (HAGGSTROM) 10 December 1996 (10/12/96), see fig. 7.	24

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